



5-14-04

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PATENTS  
8201/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michael R. Rice, Eric A. Englhardt, Vinay  
Shah, Martin R. Elliott, Robert B. Lowrance  
and Jeffrey C. Hudgens

Serial No. : 10/764,620

Filed : January 26, 2004

For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL  
LOT SIZE SUBSTRATE CARRIERS BETWEEN  
PROCESSING TOOLS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following reference:

U.S. Patent No. 6,283,692 B1, Perlov et al.

This reference is also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

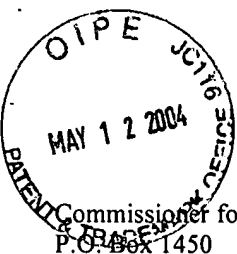
Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a long horizontal flourish extending to the right.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated:

5/12/04  
Tarrytown, New York



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Eric A. Englhardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and Jeffrey C. Hudgens  
Title: SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS BETWEEN PROCESSING TOOLS  
Serial No.: 10/764,620  
Filed: January 26, 2004

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with one cited reference.

☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:  
**PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O.BOX 450A  
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Respectfully submitted,  
  
Brian M. Dugan  
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U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

8201/SYNX/JB

Serial No.:

10/764,620

## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

Michael R. Rice, et al.

Filing Date:

January 26, 2004

Group:

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	6,283,692 B1	09/04/01	Perlov et al.			
	US-2						
	US-3						
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

## Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1							
	F-2							
	F-3							
	F-4							
	F-5							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-1	
	OT-2	
	OT-3	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.